

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67454

Kazumasa KOKURA

Appln. No.: 09/993,511

Group Art Unit: 3661

Confirmation No.: 8138

Examiner: Jacques H. LOUIS-JACQUES

Filed: November 27, 2001

For: CARGO HANDLING VEHICLE

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<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

GROUP 3600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/993,511

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant are enclosing herewith English-language abstracts.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373 CUSTOMER NUMBER

Date: May 19, 2004

Kevin M. Barner

Registration No. 46,075

Attorney Docket No.: Q67454



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 46,075

Kevin M. Barner

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: May 19, 2004

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Substitute for Form 144	10 A & R/PTO		Comp	Complete if Known				
Substitute for Form 144	13 A & D/1 10		Application Number	09/993,511				
OF INFO	RMATION	DISCLOSURE	Confirmation Number	8138				
STAT	EMENT BY	Y APPLICANT	Filing Date	November 27, 2001				
l . \			First Named Inventor	Kazumasa KOKURA				
9 2004 3 (use	e as many shee	ts as necessary)	Art Unit	3661				
MM , E LES	·	-	Examiner Name	Jacques H. LOUIS-JACQUES				
Sho	1	of 1	Attorney Docket Number	Q67454				
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	U.S. PATENT DOCUMENTS					
Examiner Cit Initials* No	Cito	Document		Publication Date		
	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or	T	
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		JP	11-115792		04-27-1999	NIPPON YUSOKI CO LTD	Abstract
		JP	10-244953		09-14-1998	NIPPON YUSOKI CO LTD	Abstract
		JP	5-92769		04-16-1993	NIPPON YUSOKI CO LTD	Abstract
		WO	00/76902	Al	12-21-2000	TCM CORP	Abstract
		EP	0 712 805	A2	05-22-1996	NIPPON YUSOKI CO LTD	
		:					
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation	

Examiner Signature

Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.